



The Department of Information and Technology Services
Research and Statistics
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KRS CHAPTER 209 CHARGES WITHIN CASES FILED AND CHARGES CONVICTED FISCAL YEAR 2023 STATEWIDE

August 10, 2023

Statistics Request Overall Description

On August 4, 2023, the Department for Community Based Services requested a statewide count of KRS Chapter 209 charges within cases filed and charges convicted during fiscal year (FY) 2023.

CourtNet, which provides a summary of cases statewide, was queried for charges within cases filed and charges convicted statewide July 1, 2022 and June 30, 2023.

Statistical Table (21_RSX6000, 21_RSX6001, and 21_RSX6003)

Statistical Tables:

- 21_RSX6000 displays a list of the requested charges queried for this report.
- 21_RSX6001 provides a count of the specified charges within cases filed with separate tables for each jurisdiction and is cross-tabulated by UOR code with description and the fiscal year.
- 21_RSX6003 provides a count of the specified charges convicted, with separate tables for each jurisdiction and is cross-tabulated by UOR code with description and the fiscal year.

Statistical Analysis Considerations:

- These statistics represent a snapshot in time, as of August 10, 2023.
- A case may consist of one or multiple charges. The report's count of cases represents a distinct count of case numbers, regardless of the number of charges associated with the case.
- Counts of cases do not necessarily represent counts of unique individuals.
- Charges consist of all original and amended charges within a case.
- Charges include ASCF Codes: 1 - Attempt, 2 - Solicitation, 3 - Conspiracy, 4 - Facilitation, 5 - Complicity, 6 - Enhancement.
- Charge and case counts are displayed for CY 2015-2016 when an offense was convicted in the specified court division.

Data Variables Requested

Database	Data Variable	Description
CourtNet	Jurisdiction	The court division of the case for each charge.

KRS CHAPTER 209 CHARGES WITHIN CASES FILED AND CHARGES CONVICTED FISCAL YEAR 2023 STATEWIDE

Fiscal Year (FY)	The state fiscal year, July 1 through June 30, in which the case was filed or the charges was convicted. This report is for FY 2023.
UOR Code	A code which identifies the specific offense charge brought against the defendant.
UOR Description	The description of the specific offense charge brought against the defendant.
Charges	A count of charges within cases filed or charges convicted.
Cases	A distinct count of cases

Disclaimer Associated with KCOJ/AOC Database(s) and Element(s)

RESEARCH AND STATISTICS DISCLAIMER

Information received from KYCourts/CourtNet is subject to change(s), reprogramming, modification(s) of format and availability at the direction of the Administrative Office of the Courts (AOC), and may not at any particular moment reflect the true status of court cases due to ordinary limitation(s), delay(s) or error(s) in the system's operation. The KYCourts/CourtNet database is not a real-time system. All datasets are a snapshot of case data at the time a query is run. Case counts are not counts of individuals as some persons may have multiple cases.

The AOC disclaims any warranties as to the validity of the information obtained from KYCourts/CourtNet. The recipient is solely responsible for verifying information received from KYCourts/CourtNet through the cross-referencing of official court records. The AOC shall not be liable to the recipient, or to any third party using the system or information obtained therefrom, for any damages whatsoever arising out of the use of KYCourts/CourtNet.

RESEARCH AND STATISTICS UOR CODE DISCLAIMER

The Kentucky State Police (KSP) assigns the Kentucky Uniform Crime Reporting Codes (UOR Codes) to criminal offenses in the Commonwealth of Kentucky. The KSP official list of UOR Codes by KRS number and descriptor can be obtained at the KSP's website at www.kentuckystatepolice.org.

The AOC assigns and maintains codes that are used within the Court of Justice Case Management System for statistical and reporting purposes. These codes are used to identify local and city ordinances, as well as codes for general administrative purposes. The AOC official list of UOR Codes with KRS number and descriptor can be obtained at the Court of Justice Website at courts.ky.gov/aoc/statisticalreports. The AOC UOR Code Listing is for informational purposes only and the information is subject to change, reprogramming, modifications of format, and availability at the direction of the Administrative Office of the Courts (AOC).

A query for data based on specified charges will include each UOR code specified and possible supplemental codes. Supplemental codes (or "ASCF" codes) to any UOR code include: 1) attempt, 2) solicitation, 3) conspiracy, 4) facilitation, 5) complicity, and 6) enhancement. In total, UOR codes contain seven digits. The first six digits are specific to that charge. The seventh digit indicates if there is a supplemental code. A "0" as the seventh digit means that is the primary UOR code and it does not have a supplemental code. If there are supplemental codes, the "0" will change to a 1, 2, 3, 4, 5, or 6

**KRS CHAPTER 209 CHARGES WITHIN CASES FILED AND CHARGES
CONVICTED FISCAL YEAR 2023 STATEWIDE**

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(the numbering corresponds to the list above). An enhancement does not exist on all UOR codes. When a supplemental code does not appear within a dataset, charge(s) did not occur during this period. The presence of “*obs” within the description of a UOR code indicates an obsolete UOR code. However, the code is still present within the KYCourts/CourtNet database and may still be utilized. Not every UOR code included in the query will have charges associated with it in each calendar year examined. Some cases may contain multiple charges; therefore, charge counts may exceed case counts. The number of cases cannot be inferred as the number of individuals as multiple cases may have the same defendant.

The AOC disclaims and makes no warranty, express or implied, nor assumes any legal liability or responsibility, for the validity, accuracy, correctness, or completeness of any information that is available and/or obtained through this listing. The recipient is solely responsible for verifying the accuracy of the information received from the official owner of the UOR Codes, the KSP. Furthermore, the AOC shall not be liable to the recipient, the recipient's heirs and assigns, next of kin or to any third party, for any and all claims, demands, losses or damages arising from the usage of this list and/or information contained therein.



ADMINISTRATIVE OFFICE OF THE COURTS
Research & Statistics

21_RSX6000

The UOR Codes listed below were queried for the attached report

209.030(2)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.030(2)	002010	*OBS* EXPLOITATION OF ADULTS, REPORTING	Misdemeanor A
209.030(2)	002029	FAILURE TO REPORT ABUSE/NEGLECT/EXPLOIT OF ADULT	Misdemeanor B

209.990(1)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(1)	002016	*OBS* EXPLOITATION OF ADULTS, REPORTING	Misdemeanor B
209.990(1)	002030	*OBS* FAILURE TO REPORT ABUSE/NEGLECT/EXPLOIT OF ADULT	Misdemeanor B

209.990(2)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(2)	002011	*OBS* EXPLOIT OF ADULT, MINOR PHYS/MENT INJURY	Misdemeanor A
209.990(2)	002012	*OBS* EXPLOIT OF ADULT, SERIOUS PHYS/MENT INJU	Felony D
209.990(2)	002017	*OBS* KNOWINGLY ABUSE/NEGLECT OF ADULT BY CARETAKER	Felony C
209.990(2)	002031	KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON	Felony C

209.990(3)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(3)	002018	*OBS* WANTON ABUSE/NEGLECT OF ADULT BY CARETAKER	Felony D
209.990(3)	002032	WANTON ABUSE/NEGLECT OF ADULT BY PERSON	Felony D

209.990(4)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(4)	002019	*OBS* RECKLESS ABUSE/NEGLECT OF ADULT BY CARETAKER	Misdemeanor A
209.990(4)	002033	RECKLESS ABUSE/NEGLECT OF ADULT BY PERSON	Misdemeanor A

209.990(5)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(5)	002015	*OBS* KNOWINGLY EXPLOIT ADULT OVER \$300 BY CARETAKER	Felony C
209.990(5)	002034	KNOWINGLY EXPLOIT ADULT BY PERSON O/\$300	Felony C

209.990(6)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(6)	002014	*OBS* WANTON/RECKLESS EXPL. OF ADULT BY CARETAKER >\$300	Felony D
209.990(6)	002035	WANTON/RECKLESS EXPLOIT ADULT BY PERSON O/\$300	Felony D

209.990(7)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(7)	002020	*OBS* EXPLOITATION OF ADULT U/\$300 BY CARETAKER	Misdemeanor A
209.990(7)	002036	*OBS* KNOW/WANTON/RECKLESS EXPLOIT ADULT BY PERSON U/\$300	Misdemeanor A
209.990(7)	002037	KNOWINGLY/WANTON/RECKLESS EXPLOIT ADULT BY PERSON \$300 OR <	Misdemeanor A

Statistical Analysis Considerations:

This charge list displays only base level offenses. Inchoate variants that may be applicable are not excluded, but are not displayed.

Data Source: CourtNet
Query Date: 8/10/2023
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209.990(8)

KRS	UOR Code	UOR Description	CHARGE LEVEL AND CLASS
209.990(8)	002009	*OBS* KNOWINGLY/WILLFULLY FINANCIALLY EXPLOIT AN ADULT	Felony D

Statistical Analysis Considerations:

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21_RSX6001

Specified Charges Within Cases Filed
(7/1/2022-6/30/2023) Statewide

CIRCUIT	Count	FY 2023
0020150 *OBS* KNOWINGLY EXPLOIT ADULT OVER \$300 BY CARETAKER	Charges	1
	Cases	1
0020160 *OBS* EXPLOITATION OF ADULTS, REPORTING	Charges	1
	Cases	1
0020310 KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON	Charges	30
	Cases	27
0020315 COMP KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON	Charges	8
	Cases	4
0020320 WANTON ABUSE/NEGLECT OF ADULT BY PERSON	Charges	8
	Cases	8
0020330 RECKLESS ABUSE/NEGLECT OF ADULT BY PERSON	Charges	2
	Cases	2
0020340 KNOWINGLY EXPLOIT ADULT BY PERSON O/\$300	Charges	59
	Cases	57
0020345 COMP KNOWINGLY EXPLOIT ADULT BY PERSON O/\$300	Charges	51
	Cases	3
0020350 WANTON/RECKLESS EXPLOIT ADULT BY PERSON O/\$300	Charges	7
	Cases	5
0020370 KNOWINGLY/WANTON/RECKLESS EXPLOIT ADULT BY PERSON \$300 OR <	Charges	3
	Cases	3
CIRCUIT TOTAL	Charges	170
	Cases	92

Statistical Analysis Considerations:

- * These statistics represent a snapshot in time.
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- * Counts of cases do not necessarily represent counts of unique individuals.

**Specified Charges Within Cases Filed
(7/1/2022-6/30/2023) Statewide**

DISTRICT	Count	FY 2023
0020310 KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON	Charges	25
	Cases	24
0020311 ATT KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON	Charges	3
	Cases	3
0020320 WANTON ABUSE/NEGLECT OF ADULT BY PERSON	Charges	6
	Cases	6
0020321 ATT WANTON ABUSE/NEGLECT OF ADULT BY PERSON	Charges	1
	Cases	1
0020330 RECKLESS ABUSE/NEGLECT OF ADULT BY PERSON	Charges	1
	Cases	1
0020340 KNOWINGLY EXPLOIT ADULT BY PERSON O/\$300	Charges	40
	Cases	38
0020341 ATT KNOWINGLY EXPLOIT ADULT BY PERSON O/\$300	Charges	2
	Cases	2
0020350 WANTON/RECKLESS EXPLOIT ADULT BY PERSON O/\$300	Charges	2
	Cases	2
0020370 KNOWINGLY/WANTON/RECKLESS EXPLOIT ADULT BY PERSON \$300 OR <	Charges	4
	Cases	4
DISTRICT TOTAL	Charges	84
	Cases	67

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**Specified Charges Within Cases Filed
(7/1/2022-6/30/2023) Statewide**

SUMMARY	Count	FY 2023
CIRCUIT	Charges	170
	Cases	92

SUMMARY	Count	FY 2023
DISTRICT	Charges	84
	Cases	67

Statistical Analysis Considerations:

- * These statistics represent a snapshot in time.
- * A case may consist of one or multiple charges. The distinct count of cases counts the case only once.
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ADMINISTRATIVE OFFICE OF THE COURTS
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21_RSX6003

Specified Charges Convicted
(7/1/2022-6/30/2023) Statewide

CIRCUIT SUMMARY	Count	FY 2023
0020150 *OBS* KNOWINGLY EXPLOIT ADULT OVER \$300 BY CARETAKER	Charges	1
	Cases	1
0020310 KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON	Charges	2
	Cases	2
0020320 WANTON ABUSE/NEGLECT OF ADULT BY PERSON	Charges	5
	Cases	5
0020325 COMP WANTON ABUSE/NEGLECT OF ADULT BY PERSON	Charges	1
	Cases	1
0020330 RECKLESS ABUSE/NEGLECT OF ADULT BY PERSON	Charges	3
	Cases	3
0020340 KNOWINGLY EXPLOIT ADULT BY PERSON O/\$300	Charges	10
	Cases	10
0020345 COMP KNOWINGLY EXPLOIT ADULT BY PERSON O/\$300	Charges	2
	Cases	1
0020350 WANTON/RECKLESS EXPLOIT ADULT BY PERSON O/\$300	Charges	6
	Cases	6
0020370 KNOWINGLY/WANTON/RECKLESS EXPLOIT ADULT BY PERSON \$300 OR <	Charges	2
	Cases	2
CIRCUIT Total	Charges	32
	Cases	30

DISTRICT SUMMARY	Count	FY 2023
0020311 ATT KNOWINGLY ABUSE/NEGLECT OF ADULT BY PERSON	Charges	1
	Cases	1

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**Specified Charges Convicted
(7/1/2022-6/30/2023) Statewide**

DISTRICT SUMMARY	Count	FY 2023
0020321 ATT WANTON ABUSE/NEGLECT OF ADULT BY PERSON	Charges	1
	Cases	1
DISTRICT Total	Charges	2
	Cases	2

Statistical Analysis Considerations:

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- * Counts of cases do not necessarily represent counts of unique individuals.

**Specified Charges Convicted
(7/1/2022-6/30/2023) Statewide**

SUMMARY	Count	FY 2023
CIRCUIT	Charges	32
	Cases	30
DISTRICT	Charges	2
	Cases	2

Statistical Analysis Considerations:

- * These statistics represent a snapshot in time.
- * A case may consist of one or multiple charges. The distinct count of cases counts the case only once.
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