



Family and Juvenile Services  
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## COMPLAINTS FILED AND YOUTHFUL OFFENDER CASES FILED 1/1/2016 – 12/31/2021 IN HENDERSON COUNTY

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August 31, 2022

### Statistics Request Overall Description

On August 23, 2022, Research & Statistics received a request for Henderson County complaint and case information during the calendar years 2016-2021.

Complaint information from the AOC CDWCMS, the case management and information system used by AOC Court Designated Workers (CDW) was queried for complaints that were filed calendar years 2016-2021 had their charges amended.

Court Case Information from AOC CourtNet, which provides a summary of cases statewide was queried for the number of circuit criminal youthful offender cases filed calendar years 2016-2021 by year that were sent to circuit court.

\*\*A defendant in a Circuit Criminal case is determined to be less than 18 years old as of the filing date of the earliest charge in the case. The charge filing date is sometimes entered to reflect the filing of the original charge, but other times may be entered as the date of the indictment or data entry. As such, some minors may turn 18 before the indictment or data entry date for these charges, and any such minors are not captured in this data set. No other datapoints are available for the identification of youthful offender indictments.

### Statistical Tables (22\_CDW3074 and 22\_RS3154)

**Report 22\_CDW3074** provides a count of complaints filed in Henderson County from 1/1/2016 – 12/31/2021.

The horizontal bar graph (Figure 1) shows the number of complaints filed (non-amended vs. amended) broken down by complaint close group for years 2016-2021.

The cross table (Table 1) shows the number of complaints filed (non-amended vs. amended) broken down by complaint close group and also includes the count of complaints, charges by each close reason group and the number of distinct youth.

**Report 22\_RS3154** provides a count of Circuit Criminal Youthful Offender cases filed in Henderson County from 1/1/2016 – 12/31/2021.

The line graph (Figure 1) shows the number of Circuit Criminal Youthful Offender cases filed for years 2016-2021.

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### Statistical Tables (22\_CDW3074 and 22\_RS3154)

The cross table (Table 1) shows the number of Circuit Criminal Youthful Offender cases filed by charge close reason, along with the distinct count of youth.

#### Statistical Analysis Considerations:

- These statistics represent a snapshot in time as of August 30, 2022.
- A case/complaint may consist of one or multiple charges. The report's count of cases represents a distinct count of case numbers/complaints, regardless of the number of charges associated with the case.
- Counts of cases do not necessarily represent counts of unique individuals.
- Counts of complaints do not equal a count of youth, a youth may have multiple complaints.
- Charges consist of all original and amended charges within a case.
- Charges include ASCF Codes: 1 - Attempt, 2 - Solicitation, 3 - Conspiracy, 4 - Facilitation, 5 - Complicity, 6 - Enhancement.

### Data Element/Field/Variable Requested

Database	Data Element/Field/Variable	Description/Definition
AOC_CDWCMS	Complaints	The number of distinct complaints filed with the Court Designated Worker program. A single complaint may include one or more charges, and more than one complaint may be filed against the same youth during a given period of time.
	Youth	An individual under the age of 18 who is the subject of a complaint. A youth may have one or more complaints.
	County	The county in which the complaint was filed (Henderson County only).
	Complaint Close Date	The date a complaint was closed within the CDW_CMS database. Complaint close date is reported by Calendar Year (January 1 <sup>st</sup> through December 31 <sup>st</sup> ).
	Charge Description	A field which displays the name of the charge filed against a youth.
	Complaint Group	Groups complaints into categories depending on the type of offenses included (Public & Status). A complaint with any public offenses is considered a public offense action, while a group with only status offenses is considered a status offense action: <ul style="list-style-type: none"> <li>• <b>Public Offense Action</b> – An action, excluding contempt, brought in the interest of a child who is accused of committing a public offense which, if</li> </ul>

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		<p>committed by an adult, would be a crime, whether the same is a felony, misdemeanor, or violation, other than an action alleging that a child (16) years of age or older has committed a motor vehicle offense.</p> <ul style="list-style-type: none"> <li>• <b>Status Offense Action</b> – any action brought in the interest of a child who is accused of committing acts, which if committed by an adult, would not be a crime. Such behavior shall not be considered criminal or delinquent and such children shall be termed status offenders. Status offenses include:             <ol style="list-style-type: none"> <li>1. Beyond the control of school or beyond the control of parents;</li> <li>2. Habitual runaway;</li> <li>3. Habitual truant;</li> <li>4. Alcohol offenses as provided in KRS 244.085.</li> </ol> </li> </ul> <p>Note: categorizes each complaint, not each charge, and a public complaint may contain status offenses.</p>
	Complaint Outcome / Complaint Close Reason	<p>The manner in which the complaint was closed. Similar close reasons are grouped into broader Complaint Outcomes.</p> <ul style="list-style-type: none"> <li>• Dismissed (Successful Diversion)</li> <li>• Dismissed (Other)             <ul style="list-style-type: none"> <li>○ Complaints dismissed</li> <li>○ Complaints closed for other reasons.</li> </ul> </li> <li>• Formal Court Referral             <ul style="list-style-type: none"> <li>○ Unsuccessful Diversion</li> <li>○ Informal Adjustment Unsuccessful</li> <li>○ Child Failed to Appear for Preliminary Inquiry Interview</li> <li>○ Child Requested Formal Court Hearing</li> <li>○ Formal Court Referral: CDW</li> <li>○ Formal Court Referral: County Attorney</li> <li>○ Formal Court Referral: Judge</li> <li>○ Youthful Offender Referral</li> </ul> </li> </ul>
	UOR	The code which identifies the specific offense charge brought against the youth on a referral.
	UOR Description	The description of the specific offense charge brought against the youth on a referral.
<b>COURTNET</b>	County	The county in which each case was filed. This report includes cases filed in Jefferson and statewide counts.
	Calendar Year	The calendar year in which the case was filed. The timeframe for this report is calendar years 2016 and 2021.

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Cases	A distinct count of cases.
Charges	A count of total charges among any applicable cases.
Age	The age of the child at case filing based on their date of birth and the case filing date.
UOR Code	A code which identifies the specific offense charge brought against the defendant.
UOR Code Description	The description of the specific offense charge brought against the defendant.

**Disclaimer Associated with KCOJ/AOC Database(s) and Element(s)**

**RESEARCH AND STATISTICS DISCLAIMER for CDWCMS REQUESTS**

The data from this report is provided from the Court Designated Worker program. Information received from the Court Designated Worker Program electronic case management system (CDWCMS) is subject to change(s), reprogramming, modification(s) of format and availability at the direction of the Administrative Office of the Courts (AOC), and may not at any particular moment reflect the true status of court cases due to ordinary limitation(s), delay(s) or error(s) in the system's operation.

**RESEARCH AND STATISTICS DISCLAIMER**

Information received from KYCourts/CourtNet is subject to change(s), reprogramming, modification(s) of format and availability at the direction of the Administrative Office of the Courts (AOC), and may not at any particular moment reflect the true status of court cases due to ordinary limitation(s), delay(s) or error(s) in the system's operation. The KYCourts/CourtNet database is not a real-time system. All datasets are a snapshot of case data at the time a query is run. Case counts are not counts of individuals as some persons may have multiple cases.

The AOC disclaims any warranties as to the validity of the information obtained from KYCourts/CourtNet. The recipient is solely responsible for verifying information received from KYCourts/CourtNet through the cross-referencing of official court records. The AOC shall not be liable to the recipient, or to any third party using the system or information obtained therefrom, for any damages whatsoever arising out of the use of KYCourts/CourtNet.

**RESEARCH AND STATISTICS UOR CODE DISCLAIMER**

The Kentucky State Police (KSP) assigns the Kentucky Uniform Crime Reporting Codes (UOR Codes) to criminal offenses in the Commonwealth of Kentucky. The KSP official list of UOR Codes by KRS number and descriptor can be obtained at the KSP's website at [www.kentuckystatepolice.org](http://www.kentuckystatepolice.org).

The AOC assigns and maintains codes that are used within the Court of Justice Case Management System for statistical and reporting purposes. These codes are used to identify local and city ordinances, as well as codes for general administrative purposes. The AOC official list of UOR Codes with KRS number and descriptor can be obtained at the Court of Justice Website at [courts.ky.gov/aoc/statisticalreports](http://courts.ky.gov/aoc/statisticalreports). The AOC UOR Code Listing is for informational purposes only and the information is subject to change, reprogramming, modifications of format, and availability at the direction of the Administrative Office of the Courts (AOC).

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A query for data based on specified charges will include each UOR code specified and possible supplemental codes. Supplemental codes (or "ASCF" codes) to any UOR code include: 1) attempt, 2) solicitation, 3) conspiracy, 4) facilitation, 5) complicity, and 6) enhancement. In total, UOR codes contain seven digits. The first six digits are specific to that charge. The seventh digit indicates if there is a supplemental code. A "0" as the seventh digit means that is the primary UOR code and it does not have a supplemental code. If there are supplemental codes, the "0" will change to a 1, 2, 3, 4, 5, or 6 (the numbering corresponds to the list above). An enhancement does not exist on all UOR codes. When a supplemental code does not appear within a dataset, charge(s) did not occur during this period. The presence of "\*obs" within the description of a UOR code indicates an obsolete UOR code. However, the code is still present within the KYCourts/CourtNet database and may still be utilized. Not every UOR code included in the query will have charges associated with it in each calendar year examined. Some cases may contain multiple charges; therefore, charge counts may exceed case counts. The number of cases cannot be inferred as the number of individuals as multiple cases may have the same defendant.

The AOC disclaims and makes no warranty, express or implied, nor assumes any legal liability or responsibility, for the validity, accuracy, correctness, or completeness of any information that is available and/or obtained through this listing. The recipient is solely responsible for verifying the accuracy of the information received from the official owner of the UOR Codes, the KSP. Furthermore, the AOC shall not be liable to the recipient, the recipient's heirs and assigns, next of kin or to any third party, for any and all claims, demands, losses or damages arising from the usage of this list and/or information contained therein.



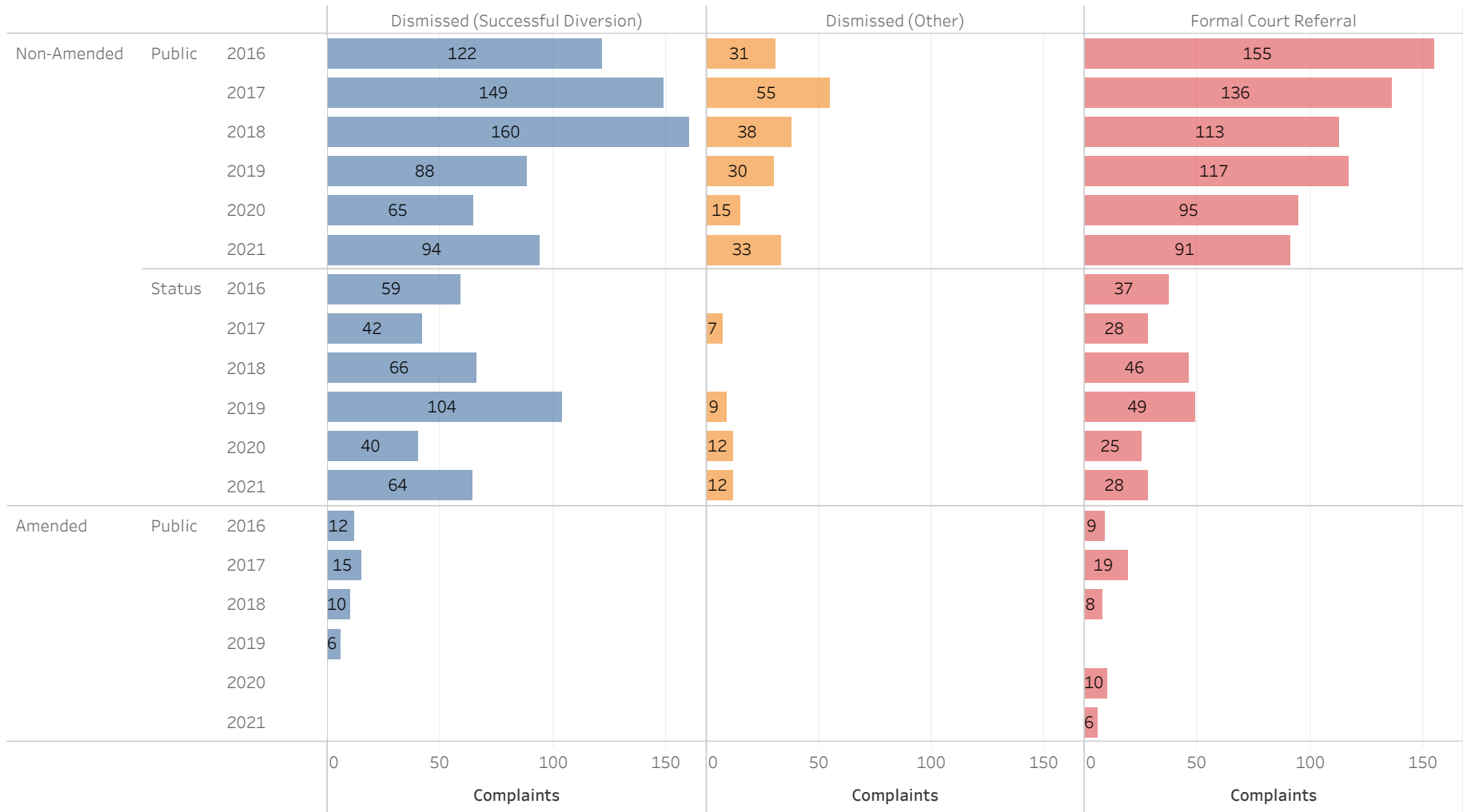
**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Family and Juvenile Services**  
**Court Designated Workers**

22\_CDW3074

Public and Status Complaints Filed CY 2016 - CY 2021 Henderson County

■ Dismissed (Successful Diversion)  
 ■ Dismissed (Other)  
 ■ Formal Court Referral

Figure 1: Complaints Filed by Complaint Close Reason Group



\* Data provided from the Court Designated Workers Case Management System.

\* Counts are of distinct complaints. A single juvenile may have multiple complaints, and a single complaint may contain one or more charges.

\* Instances in which a group contains fewer than six records are hidden in this report. A blank cell indicates "zero to five records".



**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Family and Juvenile Services**  
**Court Designated Workers**

22\_CDW3074

Public and Status Complaints Filed CY 2016 - CY 2021 Henderson County

**Table 1:** Complaints Filed (Non-Amended vs. Amended) by Complaint Type and Complaint Close Reason Group

IsAmended	Group Type	Complaint Close Group		2016	2017	2018	2019	2020	2021	Grand Total	
Non-Amended	Public	Dismissed (Successful Diversion)	Complaints	122	149	160	88	65	94	678	
			Charges	155	215	219	134	78	140	941	
			Youth	108	131	150	82	60	87	543	
	Open		Complaints								
			Charges								
			Youth								
	Total		Complaints	308	340	311	235	175	220	1,589	
			Charges	437	492	436	355	268	343	2,331	
			Youth	212	251	261	178	131	169	875	
	Status	Dismissed (Successful Diversion)	Complaints	59	42	66	104	40	64	375	
			Charges	59	42	67	104	40	65	377	
			Youth	59	41	63	101	38	62	333	
	Total		Complaints	99	77	116	162	77	104	635	
			Charges	99	78	118	162	77	105	639	
			Youth	92	71	106	144	74	94	484	
Total		Complaints	395	414	424	397	246	311	2,187		
		Charges	536	570	554	517	345	448	2,970		
		Youth	266	295	337	293	191	241	1,147		
Amended	Public	Dismissed (Successful Diversion)	Complaints	12	15	10	6			49	
			Charges	12	15	16	6		6	56	
			Youth	12	15	9	6			46	
	Open		Complaints								
			Charges								
			Youth								
	Total		Complaints	21	37	18	11	11	12	110	
			Charges	22	40	24	12	11	15	124	
			Youth	20	37	17	11	11	11	98	
	Status	Dismissed (Successful Diversion)	Complaints								8
			Charges								9
			Youth								8
	Total		Complaints							8	16
			Charges							8	17
			Youth							8	16
Total		Complaints	21	41	19	14	11	20	126		
		Charges	22	44	25	16	11	23	141		
		Youth	20	41	18	14	11	19	114		
Grand Total		Complaints	410	441	435	407	252	322	2,267		
		Charges	558	614	579	533	356	471	3,111		
		Youth	277	311	342	298	194	249	1,177		

\* Data provided from the Court Designated Workers Case Management System.

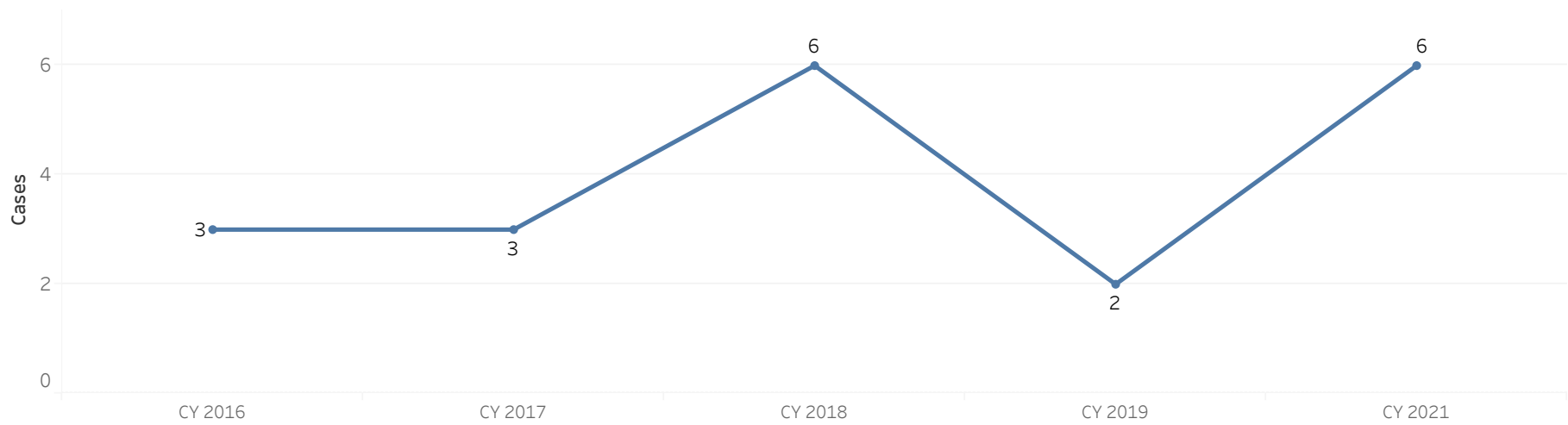
\* Counts are of distinct complaints. A single juvenile may have multiple complaints, and a single complaint may contain one or more charges.

\* Instances in which a group contains fewer than six records are hidden in this report. A blank cell indicates "zero to five records".



**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Research and Statistics**  
 Circuit Criminal "Youthful Offender" Cases Filed CY 2016 - CY 2021  
 Henderson County

**Figure 1:** Year Comparison of Youthful Offender Circuit Criminal Cases Filed



**Table 1:** Youthful Offender Circuit Criminal Cases Filed CY 16 - CY 21 by Charge Disposition Type Category

		2016	2017	2018	2019	2021	Grand Total
Convicted	Cases	3	2	6	2	5	18
	Charges	13	3	11	2	8	37
Acquitted / Dismissed	Cases					1	1
	Charges					1	1
Diverted	Cases		1				1
	Charges		1				1
Amended	Cases	2	2	6	2	2	14
	Charges	2	2	8	2	2	16
Other	Cases	1	1	5	2	2	11
	Charges	1	1	5	2	2	11
PENDING	Cases	1				1	2
	Charges	1				1	2
Grand Total	Cases	<b>3</b>	<b>3</b>	<b>6</b>	<b>2</b>	<b>6</b>	<b>20</b>
	Charges	<b>17</b>	<b>7</b>	<b>24</b>	<b>6</b>	<b>14</b>	<b>68</b>

\*This data was obtained from the CourtNet Database.

\* Counts of cases should not be considered counts of individuals, as some individuals could be involved with multiple filed cases.

\* The count of charges in this report includes original charges and may include amended charges within a given case.