

# The Department of Shared Services Research and Statistics 1001 Vandalay Drive Frankfort, KY 40601 (502) 573-2350

# PARTICIPATION IN COURT DESIGNATED WORKER PROGRAM PRELIMINARY INQUIRY AND INTERVIEW RESPONSES RELEVANT TO MENTAL HEALTH, BEHAVIORAL HEALTH, OR SUBSTANCE USE -COMPLAINTS FILED CY 2019 – 2021-

May 25, 2022

#### **Statistics Request Overall Description**

On May 16, 2022, Research and Statistics received a request for a report of youth responses in CDW Preliminary Inquiries relevant to mental health, behavioral health, or substance use concerns. To provide context, this report also includes the proportion of total complaints in which participation in the Preliminary Inquiry process proceeded far enough for these responses to be possible.

AOC CDWCMS, the case management and information system used by AOC Court Designated Worker Program, was queried for the statewide complaints filed during 1/1/2019 - 12/31/2021, including preliminary inquiry interview status, information about related case actions, and Preliminary Inquiry interview responses from the GAIN Short Screener and Health Information sections.

### Statistical Tables (22\_CDW7010)

The statistical report consists of two pages.

The first page begins with a summary of Preliminary Inquiry participation (Figure 1). A bar chart at the top of the page presents the percentage of CDW Complaints in which the Preliminary Inquiry proceeded far enough to include the GAIN Short Screener and Health Information sections (Indicated as *Participation in PI*). Also presented are the proportion of the total complaints where these responses were unavailable along with some indication of the reason (see Interview Status definition below).

Figure 2 presents the percentage of distinct youth participating in at least one Preliminary Inquiry interview who provided affirmative responses to each of the interview sections or questions indicated. The bottom of the chart indicates the percentage of distinct youth who responded with at least one affirmative response to any of the indicated sections or questions.

The second page of the report presents the same information in cross-tabulation format, including separate counts of complaints and distinct youth on each table. Table 1 presents the Interview Status counts and Table 2 presents the responses.

Please note that the percentage in Table 1 is of complaints and the percentage in Table 2 is of distinct youth. Table 2 separately presents the number and percent of distinct youth who provided Yes and

**COMPLAINTS FILED CY 2019 - 2021** 

### Statistical Tables (22\_CDW7010)

No responses in <u>at least one</u> Preliminary Inquiry. Since a youth may participate in more than one Preliminary Inquiry interview, the sum of the Yes and No responses is greater than the total number of distinct youth.

#### **Statistical Analysis Considerations:**

- The statistical information presented is a snapshot in time, as of May 23, 2022.
- Complaint counts do not equal a count of juveniles, since a juvenile may have multiple complaints.
- Responses are indicated only for the most recent Conduct Preliminary Inquiry case action related to each complaint.
- > A single Preliminary Inquiry may apply to one or more complaints.

Data Variables Requested					
Database	Data Variable	Description			
CDWCMS	Calendar Year	The timeframe was based on the complaint was signed by the affiant (complaint date). The timeframe of this report is January 1, 2019 to December 31, 2021).			
	Interview Status	Indicates whether the most recent Conduct Preliminary Inquiry case action entered for a given complaint proceeded far enough for the requested interview sections to have been conducted, as well as some indication as to what may have happened instead. Each complaint is classified by the first of the criteria below that is identified as true for the complaint.  • Participation in PI: The preliminary inquiry interview proceeded past the third screen (Child's Rights) and was completed on the tenth screen (Submit — indicating there was no Diversion Agreement), The fourteenth screen (Home Information — if there was a Diversion Agreement and the interview was conducted prior to the February 1, 2019 implementation of the Preliminary Inquiry web application), or the fifteenth screen (Personal Goals — if there was a Diversion Agreement and the interview was conducted on or after February 1, 2019).  • No Consent to PI: The Preliminary Inquiry interview was completed at the third screen (Child's Rights) when the youth indicated that they did not understand their rights and/or did not wish to continue the interview.			

COMPLAINTS FILED CY 2019 - 2021

Data Variables Requested	
Interview Responses	<ul> <li>Incomplete Interview: There is data for a Preliminary Inquiry interview that is not indicated as complete.</li> <li>Pl with no Interview: There is a Conduct Preliminary Inquiry case action but no interview data.</li> <li>Pl Scheduled, not Conducted: There is a Schedule Preliminary Inquiry case action and there is no Conduct Preliminary Inquiry case action.</li> <li>No Pl Scheduled/Conducted - Complaint Dismissed: There is neither a Schedule Preliminary Inquiry case action nor a Conduct Preliminary Inquiry case action and the complaint's Close Reason indicates dismissal (No Reasonable Grounds or County Attorney Request Informal Process / Dismiss).</li> <li>No Pl Scheduled/Conducted - Formal Court Referral: There neither a Schedule Preliminary Inquiry case action and the complaint's Close Reason indicates a formal court referral.</li> <li>The Preliminary Inquiry interview included the indicated response:         <ul> <li>GAIN - Internalizing Disorder Response: The youth provided at least one response other than "Never" to at least one of the questions in the Internalizing Disorder Screener.</li> <li>GAIN - Substance Use Response: The youth provided at least one response other than "Never" to at least one of the questions in the Substance Disorder Screener section of the Gain Short Screener.</li> <li>Had Mental Health Assessment: The youth answered "Yes" to the question "Have you ever had an assessment by a mental health professional?" in the Health Information Section.</li> <li>Have Mental or Behavioral Health Concerns: The youth answered "Yes" to the question "Do you have any mental or other behavioral Health Concerns?" in the Health Information Section.</li> <li>Receiving Mental or Behavioral Health Services: The youth answered "Yes" to the question "Are you</li> </ul> </li> </ul>

COMPLAINTS FILED CY 2019 - 2021

Data Variables Requested	
Data Vallables Requested	
	<ul> <li>currently receiving any mental or behavioral health services?" in the Health Information Section.</li> <li>Other Mental or Behavioral Health Needs Not Addressed: The youth answered "Yes" to the question "Do you have any other needs related to your mental or behavioral health (either chronic or temporary) that are not currently being addressed?" in the Health Information Section.</li> </ul>
Complaints	A count of referrals (complaints). A single youth may have multiple referrals in the reported time frame.
Youth	The count of distinct youth is based on Juvenile identification number, which is a system generated number that uniquely identifies the youth being tracked.
Internalizing Disorder Screener	<ol> <li>The Internalizing Disorder Screener consists of 6 responses for the question "When was the last time you had significant problems with"</li> <li>Feeling very trapped, lonely, sad, blue, depressed, or hopeless about the future?</li> <li>Sleep trouble, such as bad dreams, sleeping restlessly, or falling asleep during the day?</li> <li>Feeling very anxious, nervous, tense, fearful, scared, panicked, or like something bad was going to happen?</li> <li>Becoming very distressed and upset when something reminded you of the past?</li> <li>Thinking about ending your life or committing suicide?</li> <li>Seeing or hearing things that no one else could see or hear or feeling that someone else could read or control your thoughts?</li> </ol>
Substance Disorder Screener	<ol> <li>The Substance Disorder Screener consists of 5 responses for the question "When was the last time that?"</li> <li>You used alcohol or other drugs weekly or more often?</li> <li>You spent a lot of time either getting alcohol or other drugs, using alcohol or other drugs, or recovering from the effects of alcohol or other drugs(e.g., feeling sick)?</li> <li>You kept using alcohol or other drugs even though it was causing social problems, leading to fights, or getting you into trouble with other people?</li> <li>Your use of alcohol or other drugs caused you to give up or reduce your involvement in activities at work, school, home, or social events?</li> <li>You had withdrawal problems from alcohol or other drugs like shaking hands, throwing up, having trouble</li> </ol>

**COMPLAINTS FILED CY 2019 - 2021** 

Data Variables Requested					
	sitting still or sleeping, or that you used any alcohol or other drugs to stop being sick or avoid withdrawal problems?				
GAIN-SS Score	The Screener Scores are calculated based on the responses given for the above questions.  For each of the questions presented in the above sections during the preliminary inquiry, the youth is instructed to answer the last time, if ever, the youth had the problem by answering whether it was in:  • The past month (4 Points)  • 2 to 3 months ago (3 Points)  • 4 to 12 months ago (2 Points)  • 1 or more years ago (1 Point)  • Never (0 Points)  To calculate the total for each Section, count the number of responses to questions in which the answer indicated 2 points or greater. For example, if the Substance Abuse Screener section total for a specific complaint is 4 points, this indicates the youth answered 4 questions with a response that has a point value of 2 points or greater.				

### Disclaimer Associated with KCOJ/AOC Database(s) and Element(s)

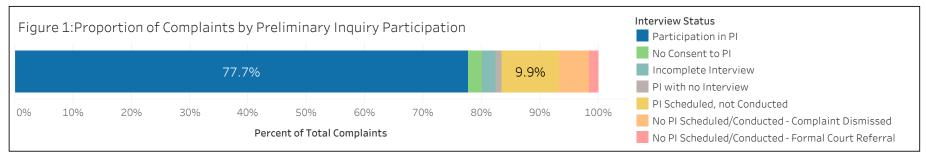
### **Research And Statistics Disclaimer For CDWCMS Requests**

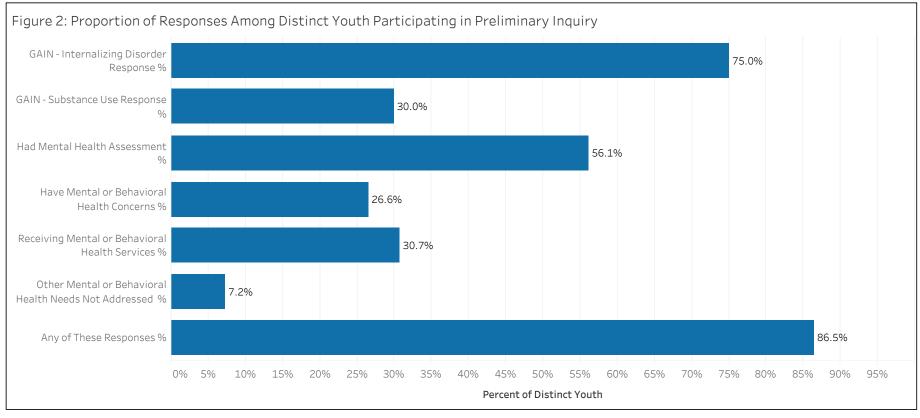
The data from this report is provided from the Court Designated Worker program. Information received from the Court Designated Worker Program electronic case management system (CDWCMS) is subject to change(s), reprogramming, modification(s) of format and availability at the direction of the Administrative Office of the Courts (AOC), and may not at any particular moment reflect the true status of court cases due to ordinary limitation(s), delay(s) or error(s) in the system's operation. Information is gathered from the data source(s) on a requested, just-in-time basis. The data is static, representing a particular moment in time.

### ADMINISTRATIVE OFFICE OF THE COURTS Research and Statistics



### Participation in Preliminary Inquiry and Interview Responses Relevant to Mental Health, Behavioral Health, or Substance Use Complaints Filed CY 2019 -2021





#### Statisical Analysis Considerations

<sup>\*</sup> Data provided from the Court Designated Workers Case Management System

<sup>\*</sup> Complaint counts do not equal a count of youth, since an individual youth may have multiple complaints filed at the same time.



### ADMINISTRATIVE OFFICE OF THE COURTS Research and Statistics

### Participation in Preliminary Inquiry and Interview Responses Relevant to Mental Health, Behavioral Health, or Substance Use Complaints Filed CY 2019 -2021

Table 1: Proportion of Complaints by Preliminary Inquiry Participation

Interview Status	Complaints	% Complaints	Youth
Participation in PI	28,737	78%	21,297
No Consent to PI	934	3%	757
Incomplete Interview	828	2%	721
PI with no Interview	397	1%	304
PI Scheduled, not Conducted	3,660	10%	2,771
No PI Scheduled/Conducted - Complaint Dismissed	1,875	5%	1,785
No PI Scheduled/Conducted - Formal Court Referral	553	1%	433
Grand Total	36,984	100%	24,822

Table 2: Proportion of Responses Among Distinct Youth Participating in Preliminary Inquiry

	Yes			No		
	Complaints	Youth	% of Youth	Complaints	Youth	% of Youth
GAIN - Internalizing Disorder Response	20,814	15,982	75.0%	7,923	6,570	30.8%
GAIN - Substance Use Response	8,694	6,383	30.0%	20,043	16,271	76.4%
Had Mental Health Assessment	16,164	11,954	56.1%	12,574	10,657	50.0%
Have Mental or Behavioral Health Concerns	7,082	5,657	26.6%	21,658	16,956	79.6%
Receiving Mental or Behavioral Health Services	8,466	6,538	30.7%	20,271	16,204	76.1%
Other Mental or Behavioral Health Needs Not Addressed	1,725	1,542	7.2%	27,013	20,265	95.2%
Any of These Responses	24,876	18,412	86.5%	3,861	3,500	16.4%

Counts and Percents of Youth per Response above count any youth who responded Yes or No to the indicated response <u>at least once</u>. As such, the sum of Yes and No responses is greater than the total number of distinct youth.

<sup>\*</sup> Data provided from the Court Designated Workers Case Management System