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PRETRIAL INTERVIEWS BOOKED DURING FY 2021
& CHARGES DISPOSED DURING FY 2021
STATEWIDE

March 23, 2022

Statistics Request Overall Description

On February 28, 2022, the Legislative Research Commission requested a report of persons arrested in Kentucky during Fiscal Year (FY) 2021, and of those, the number of charges disposed as well as the number of charges pending.

PRIM, the case management information system used by Pretrial Services, was queried for pretrial interviews statewide with a booking date between 7/1/2020 through 6/30/2021.

A second query was performed from CourtNet, which provides a summary of cases statewide, queried for charges having a disposition date between 7/1/2020 and 6/30/2021.

Statistical Report (22_PINS7001)

The report consists of a single page with two cross tables:

Table 1: Provides the Pretrial Interviews statewide with a booking date between 7/1/2020 through 6/30/2021. This table is grouped by Jurisdiction and the "Has Pending Charge category", with counts provided for charges, cases, interviews, and defendants.

Table 2: Provides a cross table of charges disposed during the requested timeframe. This table is grouped by Jurisdiction and by charge disposition category, with counts provided for charges, cases, interviews, and defendants.

Statistical Analysis Considerations:
- The statistics presented in the tables are a snapshot in time as of the March 23, 2022.
- Each interview may involve one or more cases.
- Interviews involving a circuit criminal case with a summons and no arrest were excluded.
- Counts of interviews count each interview only once. A single interview may have separate Release Decisions and Outcomes in Circuit and District Court. The same interview may appear in more than one subtotal within a table but will never be counted more than once in any cell, subtotal, or grand total.
- A case may consist of one or multiple charges. The report's count of cases represents a distinct count of case numbers, regardless of the number of charges associated with the case.

Statistical Report (22_PINS7001)

- ➢ Counts of cases do not necessarily represent counts of unique individuals.
- ➢ "DCTG – Drug Court Transfer Guilty" is excluded from table 2, as the charges will be in the originating county and not entered within the case of the county it was transferred to.
- ➢ Charges consist of all original, amended and added charges within a case.
- ➢ Charges include ASCF Codes: 1- Attempt, 2- Solicitation, 3- Conspiracy, 4- Facilitation, 5- Complicity, 6- Enhancement.

Data Variables Requested

Database	Data Variable	Description
PRIM (Table 1)	Jurisdiction	Indicates Circuit or District primarily so as to differentiate Circuit and District criminal cases within interviews and their distinct processes.
	Booking Date	The date a defendant was booked into jail for a specified case. The information is provided by the detention center and can be obtained from booking documents or electronically. In some contexts, this date is labelled "Arrest Date" in PRIM, and it is considered synonymous with arrest date for reporting and analytical purposes.
	Has Pending Charge	Since there are currently 71 unique disposition codes coming from CourtNet, similar dispositions are grouped together for reporting purposes, and the simpler "Disposition" label is applied to this field. It is created from a hidden "Charge Disposition Group" field then interpreted through an additional calculation to separate NULL dispositions from CourtNet (pending charges) from those where NULL is caused by the lack of a CourtNet match. The aggregations are calculated as follows: **Charges Pending:** The number of charges per record without a disposition date and with a CourtNet match. **Charges Disposed:** The number of charges per record with a disposition date and a CourtNet match. • **Convictions:** The number of charges with at least one disposition grouped as Conviction in the Disposition field. • **Indictments:** The number of charges disposed as INDICTMENT BY GRAND JURY / INFORMATION. **Charges Missing:** The number of charges per record without a CourtNet match.

Data Variables Requested		
Database	**Data Variable**	**Description**
		The total number of charges per record is the sum of Charges Pending, Charges Disposed, and Charges Missing. Convictions are a subset of Charges Disposed.
	Charges	The count of charges filed within each case within an Interview.
	Cases	The total number of distinct cases, counting distinct cases independent from the possibility that the same case may appear in multiple interviews over time.
	Interviews	The number of distinct pretrial interviews. The pretrial interview is the central data unit used in PRIM, capturing any data related to a discrete period of pretrial custody for a single defendant, which may apply to one or more cases. A single defendant may have multiple pretrial interviews during any given period of time.
	Defendants	A count of distinct defendants. Instances in which the same defendant is involved in more than one pretrial interview are identified when a Pretrial Officer connects the identification of the defendant in a pretrial interview to the identification entered for a previous interview involving the same defendant.
	CourtNet Match	Binary indication of whether the county and case number as entered in PRIM could be matched to case entry in CourtNet. The total number of cases per Interview & Jurisdiction without such a match is indicated by the Cases Missing measure.
CourtNet (Table 2)	Charge Disposition Date	The date in which each charge was disposed. Different charges within the same case may have separate disposition dates.
	Disposition	Charge dispositions are grouped into the following categories: **Convicted** • G – Guilty • GM – Guilty - Multiple Counts • GSS – Guilty - Sealed Sentence • PRPD – Prepaid **Indictment** • IND – Indictment by Grand Jury / Information **FTA/FTV/AP** • AP – Administrative Procedure • FTA – Failure to Appear • FTV – Fugitive **Dismissed** • CAD – Dismissal by Motion of Prosecutor • DD – Dismissed Diverted

Data Variables Requested		
Database	**Data Variable**	**Description**
		• DDP – Dismissed Deferred Prosecution • DEC – Deceased • DGJ – Dismissed After Present to Grand Jury • DIS – Dismissed • DWP- Dismissed with Prejudice • HNG – Hung Jury • INC – Incompetent to Stand Trial • MST - Mistrial • NGJ – No Action Taken by Grand Jury • NTB – No True Bull Returned by Grand Jury • PAR – Pardon - Prior to Adjudication Only • PRDIS – Pre-Payable Citation Dismissed • SAV – Set Aside/Voided • VAC – Vacated Judgment • VEFC – Vacated Expunged Felony Conviction • VSC – Void Sealed Conviction • WD - Withdrawn **Acquitted** • ACQ – Acquitted • NG – Not Guilty **Diversion** • DIV – Diversion • DP – Deferred Prosecution • PTD – Pretrial Diversion **Amended** • AD – Amended Down • AGJ – Amended Down by Grand Jury • AMEND – Amended • AU – Amended Up • AUGJ – Amended Up by Grand Jury **Other** • AFF - Affirmed • DEN – Denied • ENH – Enhanced • EXT – Extradition • GDJ – Grand Jury • GNT - Granted • MER – Merged • PCB – Peace Bond • OTH – Other • REM - Remanded

Data Variables Requested		
Database	**Data Variable**	**Description**
		• REV – Reversed • SR – Sealed Records • TRF – Transfer • TRFD – Drug Court Transfer Dismissed • TRFG – Drug Court Transfer Guilty • Any disposition code inappropriate to adult criminal charges applied erroneously.
	Charges	The number of charges within each case number.
	Cases	The total number of distinct cases associated within each county.
	Interviews	The number of distinct pretrial interviews identified using the information from the CourtNet match procedure, as defined above. A single defendant may have multiple pretrial interviews during any given period of time.
	Defendants	A count of distinct defendants within the pretrial interview, identified from the CourtNet match procedure, as defined above. Instances in which the same defendant is involved in more than one pretrial interview are identified when a Pretrial Officer connects the identification of the defendant in a pretrial interview to the identification entered for a previous interview involving the same defendant.

Disclaimer Associated with KCOJ/AOC Database(s) and Element(s)

The AOC disclaims any warranties as to the validity of the information obtained from KYCourts/CourtNet. The recipient is solely responsible for verifying information received from KYCourts/CourtNet through the cross-referencing of official court records. The AOC shall not be liable to the recipient, or to any third party using the system or information obtained therefrom, for any damages whatsoever arising out of the use of KYCourts/CourtNet.

RESEARCH AND STATISTICS UOR CODE DISCLAIMER
The Kentucky State Police (KSP) assigns the Kentucky Uniform Crime Reporting Codes (UOR Codes) to criminal offenses in the Commonwealth of Kentucky. The KSP official list of UOR Codes by KRS number and descriptor can be obtained at the KSP's website at www.kentuckystatepolice.org.

The AOC assigns and maintains codes that are used within the Court of Justice Case Management System for statistical and reporting purposes. These codes are used to identify local and city ordinances, as well as codes for general administrative purposes. The AOC official list of UOR Codes with KRS number and descriptor can be obtained at the Court of Justice Website at courts.ky.gov/aoc/statisticalreports. The AOC UOR Code Listing is for informational purposes only and the information is subject to change, reprogramming, modifications of format, and availability at the direction of the Administrative Office of the Courts (AOC).

A query for data based on specified charges will include each UOR code specified and possible supplemental codes. Supplemental codes (or "ASCF" codes) to any UOR code include: 1) attempt, 2) solicitation, 3) conspiracy, 4) facilitation, 5) complicity, and 6) enhancement. In total, UOR codes contain seven digits. The first six digits are specific to that charge. The seventh digit indicates if there is a supplemental code. A "0" as the seventh digit means that is the primary UOR code and it does not have a supplemental code. If there are supplemental codes, the "0" will change to a 1, 2, 3, 4, 5, or 6 (the numbering corresponds to the list above). An enhancement does not exist on all UOR codes. When a supplemental code does not appear within a dataset, charge(s) did not occur during this period. The presence of "*obs" within the description of a UOR code indicates an obsolete UOR code. However, the code is still present within the KYCourts/CourtNet database and may still be utilized. Not every UOR code included in the query will have charges associated with it in each calendar year examined. Some cases may contain multiple charges; therefore, charge counts may exceed case counts. The number of cases cannot be inferred as the number of individuals as multiple cases may have the same defendant.

The AOC disclaims and makes no warranty, express or implied, nor assumes any legal liability or responsibility, for the validity, accuracy, correctness, or completeness of any information that is available and/or obtained through this listing. The recipient is solely responsible for verifying the accuracy of the information received from the official owner of the UOR Codes, the KSP. Furthermore, the AOC shall not be liable to the recipient, the recipient's heirs and assigns, next of kin or to any third party, for any and all claims, demands, losses or damages arising from the usage of this list and/or information contained therein.

ADMINISTRATIVE OFFICE OF THE COURTS
Research and Statistics
Fiscal Year (FY) 2021
STATEWIDE

Table 1: Pretrial Interviews with Booking Date 7/1/2020 - 6/30/2021

Jurisdiction	Has Pending Charge	Charges	Cases	Interviews	Defendants
Circuit	Disposed	89,454	23,741	18,204	15,754
	Pending	44,658	10,975	7,647	6,836
	Missing	582	186	122	122
	Total	**134,694**	**34,902**	**25,973**	**22,372**
District	Disposed	414,849	140,664	111,672	83,368
	Pending	45,424	14,603	9,888	8,627
	Missing	5,797	3,009	1,791	1,644
	Total	**466,070**	**158,276**	**123,351**	**91,039**

Table 2: Charges Disposed 7/1/2020 - 6/30/2021

Jurisdiction	Disposition	Charges	Cases	Interviews	Defendants
Circuit	Convicted	52,287	17,541	19,847	13,660
	FTA / FTV / AP	3,773	1,003	1,413	917
	Dismissed	28,358	9,996	11,756	8,756
	Acquitted	184	68	93	60
	Diversion	4,587	1,755	2,145	1,684
	Amended	8,016	4,826	5,740	4,543
	Other	18,903	8,738	12,172	6,675
	Total	**116,108**	**29,908**	**35,787**	**22,732**
District	Convicted	119,604	61,402	67,803	47,323
	Indictment	90,549	28,539	28,232	23,059
	FTA / FTV / AP	26,369	8,337	10,451	7,279
	Dismissed	138,525	56,541	62,946	46,228
	Acquitted	71	45	55	45
	Diversion	4,089	2,147	2,391	2,035
	Amended	33,163	24,109	26,979	22,039
	Other	24,253	11,173	12,906	9,957
	Total	**436,623**	**136,749**	**141,081**	**93,123**

Statisical Analysis Considerations
* Table 1: Data provided from the Pretrial Information Management System.
* Table 2: Disposition information provided from the Courtnet Case Management System.

Run Date:
3/23/2022
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